PTO/SB/17 (10-03)

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## EE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)620.00

Complete if Known			
Application Number	09/903,508		
Filing Date	July 13, 2001		
First Named Inventor	Charles Abbas		
Examiner Name_	David A. Lambertson		
Art Unit	1636		
Attorney Docket No.	030706		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)	
X Check Credit card Money Other None	3. ADDITIONAL FEES	
Deposit Account:	Large Entity Small Entity	
Deposit Account 02-4553	Fee Fee Fee Fee Fee Description	Fee Paid
Number	1051 130 2051 65 Surcharge - late filing fee or oath	
Deposit Account Name  Buchanan Ingersoll PC	1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet	
The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification	
Charge fee(s) indicated below X Credit any overpayments	1812 2,520 1812 2,520 For filing a request for ex parte reexamination	
X Charge any additional fee(s) or any underpayment of fee(s)	1804 920* 1804 920* Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251 110 2251 55 Extension for reply within first month	
1. BASIC FILING FEE	1252 420 2252 210 Extension for reply within second month	
Large Entity Small Entity	1253 950 2253 475 Extension for reply within third month	
Fee Fee Fee Fee Pee Paid Code (\$) Code (\$)	1254 1,480 2254 740 Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255 2,010 2255 1,005 Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401 330 2401 165 Notice of Appeal	330.00
1003 530 2003 265 Plant filing fee	1402 330 2402 165 Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403 290 2403 145 Request for oral hearing	290.00
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00	1452 110 2452 55 Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1,330 2453 665 Petition to revive - unintentional	
Fee from	1501 1,330   2501   665 Utility issue fee (or reissue)	
Total Claims	71	
Independent 2**-	1503 640 2503 320 Plant issue fee	
Claims -3 -	1460 130 1460 130 Petitions to the Commissioner	
Large Entity   Small Entity	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	
Fee Fee Fee Fee Description	1806 180 1806 Submission of Information Disclosure Stmt	
Code (\$)   Code (\$)   1202   18   2202   9 Claims in excess of 20	8021 40 8021 40 Recording each patent assignment per property (times number of properties)	
1202         18         2202         9         Claims in excess of 20           1201         86         2201         43         Independent claims in excess of 3	1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810 770 2810 385 For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims over original patent	examined (37 CFR 1.129(b)) 1801 770 2801 385 Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0.00	Other fee (specify)	
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 620.	00

SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) Telephone 412-562-1622 54,468 Stewart III (Attorney/Agent) Lowar & 2004 Signature May

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





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May 13, 2004

Commissioner for Patents Mail Stop AF P.O. Box 1450 Alexandria, Virginia 22313-1450

Re:

Application of Charles Abbas, et al.

"Transformation Systems for Flavinogenic Yeast"

Serial No. 09/903,508 Filed: July 13, 2001 Group Art Unit: 1636

Examiner: Lambertson, David A.

Atty. Ref. No. 030706

## Dear Sir:

Enclosed please find the following documents for filing herewith:

- 1. Notice of Appeal (Form PTO/SB/31) (duplicate copy enclosed);
- 2. Request for Oral Hearing (Form PTO/SB/32) (duplicate copy enclosed);
- 3. Fee Transmittal (Form PTO/SB/17);
- 4. Check in the amount of \$620.00;
- 5. Return postcard;
- 6. Revocation of Power of Attorney with new Power of Attorney and Change of Correspondence Address (Form PTO/SB/82);
- 7. Power of Attorney and Correspondence Address Indication Form (Form PTO/SB/81);
- 8. Statement Under 37 C.F.R. 3.73(b) (Form PTO/SB/96) (with Assignment); and
- 9. Certificate of Mailing by Express Mail.

The documents related to transfer of power of attorney were previously filed by facsimile on May 3, 2004, and are included in an abundance of caution. It is believed that no extension of time is necessary for the timely filing of these documents. If an extension of time is necessary, kindly grant one and deduct the extension fee from Deposit Account No. 02-4553. A duplicate copy of this letter is enclosed for that purpose. Thank you for your attention to these matters.

Respectfully submitted,

Duane A. Stewart III

Reg. No. 54,468

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## CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10 - SEPARATE PAPER

ATTORNEY'S DOCKET NO.
030706

IN RE APPLICATION OF:		
Charles Abbas, et al.		
·		
Serial No.:	Filing Date:	
09/903,508	July 13, 2001	
Title:		
Transformation Systems for Flavinogenic Yeast		
Group Art Unit	Examiner	
1636	Lambertson, David A.	

Express Mail mailing label number: EV 369816128 US

Date of Deposit: May 13, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to MAIL STOP AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ellie Pingree

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